Prosperity Wealth Management Pvt. Ltd.

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Compliant data to be displayed by the Portfolio Managers

Investor complaints data to be disclosed by Portfolio Managers on their website on monthly basis:

Data for the month ending - May 2023

Sr.	Received	Pending at	Received	Resolved*	Total	Pending	Average
No.	from	the end of			Pending#	Complaints	Resolution
		Last month				> 3 months	time^(in days)
1	Directly from	Nil	Nil	Nil	Nil	Nil	Nil
	Investors						
2	SEBI Scores	Nil	Nil	Nil	Nil	Nil	Nil
3	Other	Nil	Nil	Nil	Nil	Nil	Nil
	Sources (If						
	any)						
	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2022	Nil	Nil	Nil	Nil
2	May 2022	Nil	Nil	Nil	Nil
3	June 2022	Nil	Nil	Nil	Nil
4	July 2022	Nil	Nil	Nil	Nil
5	August 2022	Nil	Nil	Nil	Nil
6	September 2022	Nil	Nil	Nil	Nil
7	October 2022	Nil	Nil	Nil	Nil
8	November 2022	Nil	Nil	Nil	Nil
9	December 2022	Nil	Nil	Nil	Nil
10	January 2023	Nil	Nil	Nil	Nil
11	February 2023	Nil	Nil	Nil	Nil
12	March 2023	Nil	Nil	Nil	Nil
13	April 2023	Nil	Nil	Nil	Nil
14	May 2023	Nil	Nil	Nil	Nil

^{*}Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month

Trend of annual disposal of complaints

Sr. No.	Year	Carried forward from previous Year	Received	Resolved*	Pending#
1	2020-21	Nil	Nil	Nil	Nil
2	2021-22	Nil	Nil	Nil	Nil

^{*} Inclusive of complaints of previous years resolved in the current year. #Inclusive of complaints pending as on the last day of the year.